

1. Name of Listed Entity: Punjab National Bank
2. Scrip Code/Name of Scrip/Class of Security: PNB
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on: 30-Sep-2016
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	

Table I-Summary Statement holding of specified securities

Category(I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying (X)	Shareholding, as a % assuming full conversion of convertible securities (including diluted share capital) (XI) = (VII) + (X)	Number of Locked-in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
			As a % of (A+B+C2)	Class seg: X	Class seg: Y	Total	Total as a % of (A+B+C)	Warrants (X)	As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)					

A	Promoter & Promoter Group	1	1383459223	0	0	1383459223	65.01	1383459223	0	138345922	65.01	0	65.01	1383459223	100			1383459223
---	---------------------------	---	------------	---	---	------------	-------	------------	---	-----------	-------	---	-------	------------	-----	--	--	------------

										3								
B	Public	364426	744509035	0	0	744509035	34.99	744509035	0	744509035	34.99	0	34.99		0			737384995
C	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
C1	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0		0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Total	364427	2127968258	0	0	2127968258	100	2127968258	0	2127968258	100	0	100	1383459223	65.01			2120844218

Table II- Statements showing shareholding pattern of the Promoter and Promoter Group

	Category&Name ofthe Shareholders (I)	PAN (II)	No.of shareh older (III)	No.of fully paid up equity share sheld (IV)	Partly paid-up equity shares held (V)	Nos.of shares underlying Depository Receipts (VI)	Total nos. shares held (VII= IV+V+VI)	Sharehol ding% calculate das per SCRR, 1957 Asa%of (A+B+C2) (VIII)	NumberofVotingRightsheldin eachclassofsecurities (IX)				No.of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholdin g, as a% assuming full conversion of convertible securities(asa percentage ofdiluted share capital) (XI)= (VII)+(X)asa %of A+B+C2	Numberof Lockedin shares (XII)		Numberof Shares pledgedor otherwise encumbered (XIII)		Numberof equity sharesheld in dematerializ edform (XIV)	
	NoofVotingRights			Totalas a%ofTo talVotin grights		Class X	Class Y	Tot al												
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0	
a	Individuals/Hindu undivided Family		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0	
b	Central Government/ State Government(s)		1	1383459223	0	0	1383459223	65.01	1383459223	0	1383459223	65.01	0	65.01	1383459223	100			1383459223	
	President Of India	XXX XX11 11X		1383459223	0	0	1383459223	65.01	1383459223	0	1383459223	65.01	0	65.01	1383459223	100			1383459223	
c	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0	
d	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0	
	Sub-Total (A)(1)		1	1383459223	0	0	1383459223	65.01	1383459223	0	1383459223	65.01	0	65.01	1383459223	100			1383459223	

2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	1383459223	0	0	1383459223	65.01	1383459223	0	1383459223	65.01	0	65.01	1383459223	100			1383459223

Table III- Statements showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity share held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held = IV+V+VI (VII)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as assumed in full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No. of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (Not applicable) (b)	
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Mutual Funds/		94	105892207	0	0	105892207	4.98	105892207	0	105892	4.98	0	4.98	0	0		105892207	

										207									
	HDFC Trustee Co Ltd	AAA TH18 09A	0	55478 305	0	0	554783 05	2.61	554780 305	0	554 783 05	2.61	0	2.61		0			55478305
	ICICI prudential	AAA AI003 8F	0	25678 659	0	0	256786 59	1.21	256780 659	0	256 786 59	1.21	0	1.21		0			25678659
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0		0			0
c	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0		0			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0		0			0
e	Foreign Portfolio Investors		166	23552 7082	0	0	235527 082	11.07	235520 7082	0	235 527 082	11.07	0	11.07		0			23552708 2
	Lazard Asset Management LLC	AAA AL02 06A	0	58185 391	0	0	581853 91	2.73	581850 391	0	581 853 91	2.73	0	2.73		0			58185391
f	Financial Institutions/ Banks		17	15711 16	0	0	157111 6	.07	157110 16	0	157 111 6	.07	0	.07		0			1571116
g	Insurance Companies		56	28064 5837	0	0	280645 837	13.19	280640 5837	0	280 645 837	13.19	0	13.19		0			28064583 7
	LIC of India	AAA CL05 82H	0	26737 1945	0	0	267371 945	12.56	267370 1945	0	267 371 945	12.56	0	12.56		0			26737194 5
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0		0			0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0		0			0

	Sub-Total (B)(1)		333	62363 6242	0	0	623636 242	29.31	62363 6242	0	623 636 242	29.31	0	29.31	0	0			62363624 2
2	Central Government/ State Government(s))/ President of India		2	32180 0	0	0	321800	.02	32180 0	0	321 800	.02	0	.02		0			321800
	Sub-Total (B)(2)		2	32180 0	0	0	321800	.02	32180 0	0	321 800	.02	0	.02	0	0			321800
3	Non- institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals -		35537 0	95071 629	0	0	950716 29	4.47	95071 629	0	950 716 29	4.47	0	4.47	0	0	0	0	88213589
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		35536 4	92965 132	0	0	929651 32	4.37	92965 132	0	929 651 32	4.37	0	4.37		0			86107092
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		6	21064 97	0	0	210649 7	.1	21064 97	0	210 649 7	.1	0	.1		0			2106497
b	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0		0			0
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0		0			0
d	Overseas Depositories (holding DRs)		0	0	0	0	0	0	0	0	0	0	0	0		0			0

	(balancing figure)																		
e	Any Other (specify)		8721	254790364	0	0	25479364	1.2	254790364	0	25479364	1.2	0	1.2	0	0			25213364
	Sub-Total (B)(3)		364091	120550993	0	0	120550993	5.67	120550993	0	120550993	5.67	0	5.67	0	0			113426953
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		364426	744509035	0	0	744509035	35	744509035	0	744509035	35	0	35	0	0			737384995

Table IV- Statements showing shareholding pattern of the Non Promoter-Non Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity share held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total No. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculate as per SCRR, 1957 (VIII) = (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as assuming full conversion of convertible securities (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)
									No. of Voting Rights			Total as a % of Total Voting Rights			No.	As a % of total Share held	No. (Not applicable)	As a % of total shares held (Not applicable)	
									Class X	Class Y	Total								
1	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held
414	94130

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %