

1. Name of Listed Entity: Punjab National Bank
2. Scrip Code/Name of Scrip/Class of Security: PNB
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2017
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	

Table I-Summary Statement holding of specified securities

Category(I)	Category of shareholder (II)	Nos.of share holder (III)	No.of fully paid up equity shares	N o. of Deposited shares	No.of share sunde Receipt (VI)	Total nos.shares held (VII)= (IV)+(V)+(VI)	Shareholding as a % of SC (VIII) = $\frac{(VII)}{1957} \times 100$	Number of Voting Rights held in each class of securities (IX)				No. of securities held (X)	Shareholding as a % of diluted capital (XI)=(VII)/(A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
			held (IV)	share held (V)	Receipt (VI)				No of Voting Rights					Total as a % of (A+B+C)	No.(a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held (b)
								Class : X	Class : Y	Total									
A	Promoter & Promoter Group	1	1383459223	0	0	1383459223	65.01	1383459223	0	1383459223	65.01	0	65.01	317618628	22.96			1383459223	
B	Public	368561	744509035	0	0	744509035	34.99	744509035	0	744509035	34.99	0	34.99		0.00			737654760	
C	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0	
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0	
	Total	368562	2127968258	0	0	2127968258	100.00	2127968258	0	2127968258	100.00	0	100.00	317618628	14.93			2121113983	

Table II- Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders (I)	PAN (II)	No. of shares held (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares Deposited with Registrar (VI)	Total nos. shares held (VII) = IV+V+VI	Shareholding calculated as per SCR 1956 (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares held (X)	Shareholding as a % assuming full conversion of convertible securities (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No. of Voting Rights			Total as a % of Total Voting Rights	(incl. Warrants) (X)		No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class X	Class Y	Total								

1	Indian		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
a	Individuals/Hindu undivided Family		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
b	Central Government/ State Government(s)		1	1383459223	0	0	1383459223	65.01	1383459223	0	1383459223	65.01	0	65.01	317618628	22.96			1383459223
	President Of India	XXXXX1111X		1383459223	0	0	1383459223	65.01	1383459223	0	1383459223	65.01	0	65.01	317618628	22.96			1383459223
c	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (A)(1)		1	1383459223	0	0	1383459223	65.01	1383459223	0	1383459223	65.01	0	65.01	317618628	22.96			1383459223
2	Foreign		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
b	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	1383459223	0	0	1383459223	65.01	1383459223	0	1383459223	65.01	0	65.01	317618628	22.96			1383459223

Table III- Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shares held (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held = IV+V+VI	Shareholding % calculated as per SCR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (a)	Total shareholding, as assumed in full conversion of convertible securities (as a percentage of total shares outstanding) (b)	Number of Locked in shares (XII)		Number of Shares pledged (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Mutual Funds/		73	139085031	0	0	139085031	6.54	139085031	0	139085031	6.54	0	6.54		0.00			139085031
	HDFC Trustee Co Ltd	AAATH1809A	0	94993885	0	0	94993885	4.46	94993885	0	94993885	4.46	0	4.46		0.00			94993885
b	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
c	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
e	Foreign Portfolio Investors		144	212707025	0	0	212707025	10.00	212707025	0	212707025	10.00	0	10.00		0.00			212707025
	Lazard Emerging Markets Portfolio	AAAAL0206A	0	56972947	0	0	56972947	2.68	56972947	0	56972947	2.68	0	2.68		0.00			56972947
f	Financial Institutions/ Banks		17	2619713	0	0	2619713	0.12	2619713	0	2619713	0.12	0	0.12		0.00			2619713
g	Insurance Companies		59	278997491	0	0	278997491	13.11	278997491	0	278997491	13.11	0	13.11		0.00			278997491
	LIC of India	AAACL0582H	0	266505945	0	0	266505945	12.52	266505945	0	266505945	12.52	0	12.52		0.00			266505945
h	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
i	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
	Sub-Total (B)(1)		293	633409260	0	0	633409260	29.77	633409260	0	633409260	29.77	0	29.77	0	0.00			633409260
2	Central Government/ State Government(s)/ President of India		2	321800	0	0	321800	0.02	321800	0	321800	0.02	0	0.02		0.00			321800
	Sub-Total (B)(2)		2	321800	0	0	321800	0.02	321800	0	321800	0.02	0	0.02	0	0.00			321800
3	Non-institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Individuals -		359243	94843042	0	0	94843042	4.46	94843042	0	94843042	4.46	0	4.46	0	0.00	0	0.00	88250767
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		359238	92726310	0	0	92726310	4.36	92726310	0	92726310	4.36	0	4.36		0.00			86134035
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		5	2116732	0	0	2116732	0.10	2116732	0	2116732	0.10	0	0.10		0.00			2116732

b	NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
c	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
e	Any Other (specify)		9023	15934933	0	0	15934933	0.75	15934933	0	15934933	0.75	0	0.75		0.00			15672933
	Sub-Total (B)(3)		368266	110777975	0	0	110777975	5.21	110777975	0	110777975	5.21	0	5.21	0	0.00			103923700
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		368561	744509035	0	0	744509035	35.00	744509035	0	744509035	35.00	0	35.00	0	0.00			737654760

Table IV- Statement showing shareholding pattern of the Non Promoter-Non Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total no. shares held (VII= IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
									No. of Voting Rights			Total as a % of Total Voting Rights			No.	As a % of total Share held	No.	As a % of total share held (Not applicable)		
									Class X	Class Y	Total									
1	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0	
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held
413	94010

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %