

**ANNEXURE I**

**Format to be submitted by listed entity on quarterly basis**

1. Name of Listed Entity - **PUNJAB NATIONAL BANK**  
 2. Quarter ending - **30-Sep-2020**

**i. Composition Of Board Of Director**

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/Non- Executive/ Independent/ Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	Whether special resolution passed?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
Mr.	CH. S.S. Mallikarjuna Rao	07667641	AEWPM2516H	C & ED	CEO -MD	01-Oct-2019	01-Oct-2019			22-Jan-1962	NA		2	0	2	0	SC,RC	
Mr.	Rajesh Kumar Yaduvanshi	07206654	AAAPY2605H	ED		15-Apr-2019	15-Apr-2019			23-Jun-1961	NA		1	0	1	0	SC,RC	

Mr.	Sanjay Kumar	06741352	ADNPK2722R	ED		01-Apr-2020	01-Apr-2020			07-Nov-1962	NA		1	0	1	0	AC,RC	
Mr.	Vijay Dube	99999999	AEMPD8205J	ED		01-Apr-2020	01-Apr-2020			07-Aug-1963	NA		1	0	0	0		Not Available
Mr.	Agyey Kumar Azad	99999999	ABEPA0703B	ED		22-Jan-2019	22-Jan-2019			05-Apr-1961	NA		1	0	1	0		Not Applicable
Mr.	Pankaj Jain	00675922	ABPPJ3009G	NED,ND		08-Aug-2019	08-Aug-2019			28-Dec-1965	NA		1	0	1	0	AC	
Mr.	Vivek Aggarwal	99999999	AACPA8516D	NED,ND		24-Jul-2019	24-Jul-2019			07-Aug-1963	NA		1	0	1	0		Not Applicable
Mrs.	Asha Bhandarker	99999999	ADSPB7584M	ID		12-Sep-2018	12-Sep-2018		36	12-Mar-1959	NA		1	1	2	2		Not Applicable

Company Remarks	The Bank is a body corporate constituted in terms of the Banking Companies (Acquisition & Transfer of Undertakings) Act, 1970. The composition of the Board is governed by Section 9(3) of the Act in terms of which all the Directors are appointed / nominated by the Central Govt. except the Director(s) elected by the shareholders of the Bank other than the Central Govt. The Sub-Committees of Board have been constituted pursuant to the extant Guidelines of RBI/Govt. of India etc. n
Whether Permanent chairperson appointed	No
Whether Chairperson is related to MD or CEO	No

## ii. Composition of Committees

### a. Audit Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Asha Bhandarker	ID	Chairperson	16-Jun-2020	
2	Sanjay Kumar	ED	Member	06-May-2020	
3	Pankaj Jain	NED,ND	Member	19-Aug-2019	
4	Vivek Aggarwal	NED,ND	Member	26-Jul-2019	

Company Remarks	.The Bank is a body corporate constituted in terms of the Banking Companies (Acquisition & Transfer of Undertakings) Act, 1970. The composition of the Board is governed by Section 9(3) of the Act in terms of which all the Directors are appointed / nominated by the Central Govt. except the Director(s) elected by the shareholders of the Bank other than the Central Govt. The Sub-Committees of Board have been constituted pursuant to the extant Guidelines of RBI/Govt. of India etc.
Whether Permanent chairperson appointed	Yes

**b. Stakeholders Relationship Committee**

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Asha Bhandarker	ID	Chairperson	19-Aug-2019	
2	CH. S.S. Mallikarjuna Rao	C & ED	Member	16-Jun-2020	
3	Rajesh Kumar Yaduvanshi	ED	Member	01-Feb-2020	
4	Agyey Kumar Azad	ED	Member	04-Feb-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**c. Risk Management Committee**

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	CH. S.S. Mallikarjuna Rao	C & ED	Chairperson	16-Oct-2019	
2	Rajesh Kumar Yaduvanshi	ED	Member	24-Apr-2019	
3	Sanjay Kumar	ED	Member	05-May-2020	
4	Vijay Dube	ED	Member	05-May-2020	
5	Agyey Kumar Azad	ED	Member	04-Feb-2019	
6	Asha Bhandarker	ID	Member	27-Sep-2018	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**d. Nomination and Remuneration Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Asha Bhandarker	ID	Chairperson	19-Aug-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**iii. Meeting of Board of Directors**

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
05-May-2020	09-Jul-2020	Yes	8	1
29-May-2020	30-Jul-2020	Yes	8	1
19-Jun-2020	21-Aug-2020	Yes	8	1
29-Jun-2020	05-Sep-2020	Yes	8	1
	25-Sep-2020	Yes	7	1

Company Remarks	nIn terms of the Proviso to Regulation 15(2)(b) of SEBI (LODR) Regulations, 2015, the corporate governance provisions as specified in regulation 17, 14[17A,] 18, 19, 20, 21, 22, 23, 24, 15[24A,] 25, 26, 27 and clauses (b) to (i) of sub-regulation (2) of regulation 46 and Para C, D and E of Schedule V shall apply to the Bank (being a body corporate) to the extent that it does not violate the statute and guidelines or directives issued by the relevant authorities.n
Maximum gap between any two consecutive (in number of days)	21

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	29-May-2020		Yes	4	1
Audit Committee	19-Jun-2020		Yes	4	1
Audit Committee	29-Jun-2020		Yes	3	1
Audit Committee		09-Jul-2020	Yes	4	1
Audit Committee		30-Jul-2020	Yes	4	1
Audit Committee		21-Aug-2020	Yes	4	1
Audit Committee		05-Sep-2020	Yes	4	1
Audit Committee		25-Sep-2020	Yes	4	1
Risk Management Committee	28-May-2020		Yes	6	1
Risk Management Committee		20-Jul-2020	Yes	6	1
Risk Management Committee		14-Aug-2020	Yes	6	1
Stakeholders Relationship Committee	15-Jun-2020		Yes	3	1
Stakeholders Relationship Committee		30-Jul-2020	Yes	4	1

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	21

v. **Related Party Transactions**

<b>Subject</b>	<b>Compliance status (Yes/No/NA)</b>	<b>Remark</b>
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

**VI. Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - **Yes**
  - b. Nomination & remuneration committee - **Yes**
  - c. Stakeholders relationship committee - **Yes**
  - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5.
  - a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
  - b. Any comments/observations/advice of Board of Directors may be mentioned here:

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In terms of the Proviso to Regulation 15(2)(b) of SEBI (LODR) Regulations, 2015, the corporate governance provisions as specified in regulation 17, 14[17A,] 18, 19, 20, 21, 22, 23, 24, 15[24A,] 25, 26, 27 and clauses (b) to (i) of sub-regulation (2) of regulation 46 and Para C, D and E of Schedule V shall apply to the Bank (being a body corporate) to the extent that it does not violate the statute and guidelines or directives issued by the relevant authorities.

**Name** : **Ekta Pasricha**  
**Designation** : **Company Secretary & Compliance Officer**

**ANNEXURE III**

**Affirmations**

<b>Broad Heading</b>	<b>Regulation Number</b>	<b>Compliance Status</b>	<b>Company Remark</b>
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

The Bank is a body corporate constituted in terms of the Banking Companies (Acquisition & Transfer of Undertakings) Act, 1970. The composition of the Board is governed by Section 9(3) of the Act in terms of which all the Directors are appointed / nominated by the Central Govt. except the Director(s) elected by the shareholders of the Bank other than the Central Govt. The Sub-Committees of Board have been constituted pursuant to the extant Guidelines of RBI/Govt. of India etc.

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**Name** : **EKTA PASRICHA**  
**Designation** : **Company Secretary & Compliance Officer**